



# EGCO Human Rights Training 2023

18 October 2023

## **Objectives**



Increase employee awareness and understanding of relevant terminologies and trends related to **business and human rights (BHR), discrimination and harassment, and diversity, equity, and inclusion (DEI)** 

Ensure employees are equipped with the knowledge and skills to support **corporate policies, procedures, and strategies** related to BHR, discrimination and harassment, and DEI

Communicate existing corporate resources and channels employees can access if they need additional information/support, would like to provide inputs/feedback, or report suspected non-compliance



## **Human Rights Moment**



## UN General Assembly declares access to clean and healthy environment a universal human right



👕 Thairath Plus

### สมัชชาใหญ่ UN ประกาศให้ 'การเข้าถึงสิ่งแวดล้อมที่ดี' เป็นสิทธิ มนุษยชน เพิ่มพลังให้การต่อสู้ด้านสิ่งแวดล้อม

เมื่อวันที่ 28 กรกฎาคมที่ผ่านมา สมัชชาใหญ่แห่งสหประชาชาติลงมดิเห็นชอบและประกาศว่า การ เข้าถึงสิ่งแวดล้อมที่สะอาด ดีต่อสุขภาพ และยั่งยืน (clean, healthy and sustainable environment)...

Aug 7, 2565 BE

The World Economic Forum

### The UN just declared a new human right

The United Nations General Assembly has declared the ability to live in "a clean, healthy and sustainable environment" a human right for...

Aug 9, 2565 BE



Reference: UNEP (2022)



# Introductions and Housekeeping

# **EGCO Human Rights Journey**





## **Pre-Training Test (10 Mins)**



True or False:	True or False:	True or False:
An example of a business and human rights issue is a business purchasing raw materials from a supplier that uses forced labor.	The UNGPs are guiding principles for governments – not businesses – to implement.	The UNGPs outline that businesses should: establish a policy commitment to respect human rights, assess actual and potential impacts, integrate findings and take appropriate action, track and communicate performance, and remediate adverse impacts.
TOUR	[FALSE]	[TRUE]
[TRUE]	[FAE3E]	[1102]
True or False:	True or False:	If you observe or suspect an incident of
		If you observe or suspect an incident of discrimination or harassment at EGCO, you can report it through https://www.egco.com/th/whistle-blowing or send email to 1. The Board of Directors: <u>directors@egco.com</u>
True or False: An example of discrimination is unequal pay between men and	True or False: Harassment can be verbal or	If you observe or suspect an incident of discrimination or harassment at EGCO, you can report it through https://www.egco.com/th/whistle-blowing or send email to 1. The Board of Directors:

## Introductions (5 Mins)







# How important are Human Rights?

## How important are human rights to a company?

- Strengthen good relationships with stakeholders
- Create a good image, reputation and gain the trust from the public
- o Increase risk management potential to create more attractiveness to the investors
- Increase competitiveness and create financial and social returns

# How important are human rights to employee?

- Create good engagement and morale both in terms of working conditions, safety, and hygiene for employees
- Enhance the environment and well-being for employees
- Create positive impact on recruit, motivate, and retain employees which can increase human resource capabilities

# Stakeholder expectations of responsible business conduct regarding human rights are steadily increasing.



Reference: UNDP (2021); Submittable (n/d); Medium (2020)

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What are human rights? Why should businesses care about human rights?

# Human rights are rights entitled to <u>all</u> human beings.

Although the concept of equality and dignity for all human beings has long existed in human history, the signing of the *Universal Declaration of Human Rights (UDHR)* on 10 December 1948 marks the first global human rights declaration signed by countries across the globe.

"Internationally recognized human rights" are understood to be, at a minimum, the human rights contained in:

International Bill of Human Rights	ILO's Declaration on Fundamental Principles and Rights at Work	All human rights are Universal
Consists of the Universal Declaration of Human Rights, the International Covenant on Economic, Social, and Cultural Rights, and the International Covenant on Civil and Political Rights	<ul> <li>Freedom of association and effective recognition of the right to collective bargaining</li> <li>Elimination of all forms of forced or compulsory labor</li> <li>Effective abolition of child labor</li> <li>Elimination of discrimination in respect of employment and occupation</li> </ul>	Indivisible & interdependent   Indivisible & interdependent   Inalienable   Imalienable   Imalienable

Reference: UNGP Reporting Framework; Castan Centre for Human Rights Law, International Business Leaders Forum, and Office of the United Nations High Commissioner for Human Rights (2008)

# The following human rights are internationally recognized. EGCO

- 1. Right to life
- 2. Right to liberty and security
- 3. Right not to be subjected to slavery, servitude, or forced labour
- 4. Right not to be subjected to torture, cruel, inhuman, and/or degrading treatment or punishment
- 5. Right to recognition as a person before the law
- 6. Right to equality before the law, equal protection of the law, non-discrimination
- 7. Right to freedom from war propaganda, and freedom from incitement to racial, religious, or national hatred
- 8. Right to access to effective remedies
- 9. Right to a fair trial
- 10.Right to be free from retroactive criminal law
- 11.Right to privacy
- 12.Right to freedom of movement

- 13. Right to seek asylum from prosecution in other countries
- 14. Right to have a nationality
- 15. Right of protection for the child
- 16. Right to marry and form a family.
- 17. Right to own property.
- 18. Right to freedom of thought, conscience, and religion.
- 19. Right to freedom of opinion, information, and expression
- 20. Right to freedom of assembly.
- 21. Right to freedom of association.
- 22. Right to participate in public life
- 23. Right to social security, including social insurance.
- 24. Right to work.
- 25. Right to enjoy just and favorable conditions of work
- 26. Right to form and join trade unions and the right to strike

- 27. Right to an adequate standard of living
- 28. Right to health
- 29. Right to education
- 30. Right to take part in cultural life, benefit from scientific progress, material and moral rights of authors and inventors
- 31. Right to self-determination
- 32. Right of detained persons to humane treatment
- 33. Right not to be subjected to imprisonment for inability to fulfill a contract
- 34. Right of aliens due process when facing expulsion
- 35. Rights of minorities

Reference: Universal Declaration on Human Rights International Covenant on Civil and Political Rights International Covenant on Economic, Social and Cultural Rights International Finance Corporation



## Mentimeter Exercise 1: What human rights impacts do you think businesses create?

Sourcing	Operations/ Manufacturing	Transmission/ Distribution	Marketing and Sales	Service
Right not to be subjected to slavery - Sourcing from suppliers using forced or bonded labor	Right to life - Operations that pose life-threatening safety risks to workers or communities	Right to enjoy just and favorable conditions of work - Requiring excessive working hours	Right to life - Manufacture and sale of products with lethal flaws	Right to privacy - Failure to protect confidentiality of customer data
Right to work - Supporting employment	Right to form and join trade unions - Prohibiting employees from joining trade unions		Right to health - Sale of products that are hazardous to the health of end users or customers	Freedom from discrimination - Gender discrimination in hiring practices

Reference: UNGP Reporting Framework (2015); Castan Centre for Human Rights Law, International Business Leaders Forum, and Office of the United Nations High Commissioner for Human Rights (2008); UNGC;

# Throughout its activities, businesses can affect many groups of people.

Sourcing	Operations/ Manufacturing	Transmission/ Distribution	Marketing and Sales	Service
Suppliers' workforce	Employees	Employees	Customers	Employees
Suppliers' surrounding	Subcontractors	Subcontractors		Customers
communities	Surrounding communities	Surrounding communities		

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Reference: UNDP (2021)



Reference: UNDP (2021)

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# In response, the international community developed standards to promote responsible business conduct.

**1976** <u>OECD Guidelines for</u> <u>Multinational Enterprises</u>

1977 <u>ILO Tripartite Declaration of</u> <u>Principles Concerning MNEs</u> <u>and Social Policy</u>









IFC Performance Standards



2011 **UN Guiding Principles** on Business and Human Rights **GUIDING PRINCIPLES ON BUSINESS** AND HUMAN RIGHTS 裟

EGCO

Reference: UNGP Reporting Framework; Castan Centre for Human Rights Law, International Business Leaders Forum, and Office of the United Nations High Commissioner for Human Rights (2008)

# The UNGPs include 31 principles under three pillars - Protect, Respect, and Remedy.

UN Guiding Principles on Business and Human Rights (UNGPs) 濛

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# Businesses should account for human rights impacts from their activities <u>and</u> business relationships.



Reference: Clapham and Jerbi (2001); International Commission of Jurists (2008);

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# The UNGPs outline the actions businesses should take to demonstrate respect for human rights.



Reference: UNDP (2021)



# In the power sector, stakeholders have human rights expectations related to:

- 1. Emergency Response and Community Safety
- 2. Bribery and Corruption
- 3. Health and Safety
- 4. Employee Discrimination & Harassment (Connects to DEI)
- 5. Collective Bargaining
- 6. Land Acquisition & Resettlement
- 7. Local Community Livelihoods
- 8. Security Forces
- 9. Vulnerable Consumers
- 10. Grievance Mechanism and Right to Remedy

Reference: BSR (2017)



## Case Study 1 – Xe Pian-Xe Namnoy Hydropower Project Community property rights, safety, and livelihoods



## Revenue

Reputation

Cost (Remediation/ Fines, Others etc.)

Affected Rights Holder

**Business Impact** 

Employee

Community

**Supplier & Contractor** 

Customer

### Incident

- Since the early stages of the project, the Xe Pian-Xe Namnoy Hydropower Project caused adverse human rights impacts on communities. Local Nya Heun ethnic communities were <u>forcefully relocated</u> between 1996 and 2001 to make way for the dams that were planned at the time. Communities had no say in where they were resettled, and faced food security concerns in the resettlement site.
- Later as the project construction proceeded, the project affected the <u>fisheries-based</u> <u>livelihoods and food security</u> of ethnic communities living downstream.
- In July 2018, one of the dams collapsed, <u>killing at least 71 people and displacing more</u> <u>than 14,400</u>.
- Throughout the process, communities were <u>not consulted and there was a lack of</u> <u>meaningful complaint mechanisms</u>.





Human Rights Violation

- 1. Right to life
- 6. Right to equality before the law
- 8. Right to access to effective remedies
- 17. Right to own property
- 27. Right to an adequate standard of living
- 35. Rights of minorities

Reference: International Rivers (1999); Inclusive Development International and International Rivers (2019); Radio Free Asia (2022)

## **Case Study 2 – UK Consumers Protest Energy Prices** *Vulnerable consumers and access to energy*



## **Business Impact**

Revenue

Reputation

Cost (Remediation/ Fines, Others etc.)

#### Affected Rights Holder

Employee

Community

**Supplier & Contractor** 

Customer

## Incident

- In 2011, the UK Office for National Statistics recorded an increase in deaths over winter. The Fuel Poverty Action Group and other citizens attributed this to an increase in electricity costs which have created severe impacts on low income groups.
  - In response, the Fuel Poverty Action Group staged a <u>"die-in" outside the headquarters</u> <u>of EDF Energy</u>, one of the "big six" energy companies in the UK.
- More recently in 2022, the Don't Pay campaign in UK is also <u>calling out energy</u> companies for profiting while citizens and vulnerable consumers are struggling to <u>make ends meet.</u> Through the campaign, more than 193,000 people have pledged to "strike" from paying their energy bills.







## Human Rights Violation

27. Right to an adequate standard of living



## **Case Study 3 – Sexual Harassment at Exelon** Employee discrimination and harassment

Business Impact	Incident		
Revenue	In 2019, leaked documents s	showed that sexual harassment was found in Exelon. The	
Reputation	allegations concerned the Senior Vice President for State Relations, who had committed sexual harassment to several female employees.		
Cost (Remediation/ Fines, Others etc.)	The case resulted in reputation	tional impacts on Exelon.	
Affected Rights Holder	<ul> <li>In 2023, discrimination in the power and utilities sector continues to be recorded. The International Energy Agency (IEA) reports that women are underrepresented and underpaid</li> </ul>		
Employee	in the energy sector, signification	antly more-so than in the non-energy sector.	
Community			
Supplier & Contractor	Exelon.	CRAIN'S CHICAGO BUSINESS	
Customer		Crains Daily Gist Crain's Daily Gist: Harassment allegations at Exe One of Illinois' most politically potent corporations is having a #MeToo moment.	
		One of famols most polatically potent corporations is having a #Meroo moment.	

## **Human Rights Violation**

6. Right to equality and nondiscrimination

Reference: Utility Dive (2018); Chicago Business (2019); International Energy Agency (2023)



## Case Study 4 – Fatality due to electrocution Employee health and safety

## **Business Impact**

#### Revenue

Reputation

Cost (Remediation/ Fines, Others etc.)

#### Affected Rights Holder

Employee

Community

**Supplier & Contractor** 

Customer

## Incident

- In 2015, an electrician at Duke Energy in Florida was electrocuted by more than 10,000 volts and later died from injuries.
- The U.S. Department of Labor's Occupational Safety and Health Administration conducted an inspection and found Duke to be <u>responsible for the fatality. and penalized the</u> <u>company a total of \$90,000</u>.

Duke was charged with **failure to enforce safety standards despite knowing that** workers bypass safety protocols to conduct testing.





## Human Rights Violation

1. Right to life

25. Right to enjoy just and favorable conditions of work



## Case Study 5 – EPBiH Breaks Agreement Collective bargaining

Incident

## Business Impact

#### Revenue

Reputation

Cost (Remediation/ Fines, Others etc.)

#### Affected Rights Holder

Employee

Community

**Supplier & Contractor** 

Customer

- In Bosnia, the state-owned Elektroprivreda BiH (EPBiH) power utility company had plans to switch to renewable energy sources and cut the number of employees from 7,200 to 5,200.
- Due to changes in working conditions, the Company and workers participated in collective bargaining and had an <u>agreement that was agreed in May 2021.</u>
- In November 2021, the Company imposed new regulations that <u>directly violated the</u> <u>agreement and resulted in lower wages</u>.
- In response, thousands of workers participated in a strike.



## REUTERS

Bosnian coal miners halt work, protest against lower wages

### Human Rights Violation

20. Right to freedom of assembly

21. Right to freedom of association

Reference: ITUC (2022); Reuters (2021)

# **Emerging Issue 1 – Human Rights and Climate Change**



#### BBC

### โลกร้อน : ย้อนมองปี 2021 ปีของคลื่นความร้อน ไฟป่า ภัยแล้ง และ น้ำท่วมใหญ่

ย้อนไปเมื่อเดือน ก.พ. บีบีซีไทยเสนอข่าวที่ชาวดัดช์พากันออกไปเล่นสเก็ดน้ำแข็งกันอย่าง สนุกสนานหลังจากอากาศที่เย็นทะลุจุดเยือกแข็งนานต่อเนื่องหลายวัน ทำให้แม่น้ำ ลำคลอง ทะเลสาบ...

Dec 13, 2564 BE

#### 📧 TNN Online

### โลกร้อนสุดเป็นประวัติการณ์ เกิดสภาพอากาศสุดขั้วทั่วโลก

องค์การอุดุนิยมวิทยาโลก หรือ WMO เผยว่าอุณหภูมิโลกเพิ่มขึ้นเป็น 1.15 องศาเซลเซียสแล้ว สำหรับ 8 ปีที่ผ่านมานับดั้งแต่ปี 2014 จนถึง 2022 โลกร้อนสุดเป็นประวัดิการณ์... Dec 19. 2565 BE

#### — Spring News

ภัยพิบัติสภาพอากาศจากทั่วโลกช่วงปีใหม่ 2023 สะท้อนปัญหา Climate Change

้ ขัดเด็มตา...ปัญหา Climate Change มันกำลังหนักหน่วงทะลวงโลกมากขึ้นทุกวัน และมันกำลังสู้ กลับ! เหตุการณ์เล่านี้เกิดทั่วทุกมุมโลก.

Jan 5, 2566 BE





Buildings
 Industry
 Others
 Transport
 Electricity and heat generation

Reference: BSR (2017), BBC (2021), TNN Online (2022), Spring News (2023), International Energy Agency (2020), AI Jazeera (2021)

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## **Emerging Issue 2 – Conflict-Affected Areas**

- Raw materials used by the industry, such as coal, uranium, gas, and biomass, may be sourced from conflict-affected or high-risk areas.
- In these areas, there may be high human rights risks related to <u>child labor, forced labor, working</u> conditions, security risks, and abuse of local communities.



Reference: BSR (2017), NPR (2022); International Labour Organization (2023); Toronto Star (2023)

**Frequently Asked Questions** 

1 - The company's supplier is based in Thailand. Due to local and cultural contexts, does it mean certain human rights are irrelevant?

A: One of the key principles of human rights is that it is <u>universal</u>. This means that regardless of location, nationality, gender, race, ethnicity, skin color, religion, language, or other status, all individuals should access these human rights.

2 - My company follows all local laws and ask our stakeholders do the same. By default, does this mean we respect human rights?

A: Although complying with local laws can mean that human rights are being respected in certain situations, it is not always the case. Laws in certain countries may not meet international human rights standards, or in some instances may conflict with human rights standards. Where local laws conflict with international human rights standards, the UNGPs state that businesses should aim to respect the highest international human rights standards.

Reference: OCHR (2014); International Organisation of Employers (2021)





# Group Exercise (30 Mins) Identifying and Mitigating Human Rights Issues

Through this exercise, you will test your knowledge and understanding of business and human rights issues and try identifying and mitigating them. You will be given different scenarios and roles.

- 1. Split into 4 groups.
- 2. Read the scenario.
- 3. Within each group, split into <u>Rightsholders</u> and <u>Corporate Team</u> and discuss:
  - A) Which human rights issues may be present?
  - B) Which mitigation measures would you propose?
- 4. Come back together as one group and discuss your results. Remember to speak from your roles!
- 5. Assign one presenter to share back to the room.



Participants taking on the role of rightsholders should consider:

How might the perspective of rightsholders differ to your own perspective?

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# **Group 1 – Employee**

employees. Its core business activities include sourcing raw materials such as coal, operating power plants, and distributing electricity. Its supporting functions are typical of other companies, such as HR, IT, and Government Affairs.

#### **Rightsholder Group** – **Corporate Team** Employee What issues might you be What measures could you take • to minimize risks for employees concerns that may violate employee rights during as well as your company? (Can operations? be considered from the What concerns would you like mitigation measures that the ٠ company has in place or think of the company to implement additional measures) mitigation measure?

Company A is a large power producer that employs over a thousand





# EGCO

# **Group 2 – Community**

Company A is planning to expand its operations and open a new coal power plant in Cambodia. The location under consideration is near a group of local communities. So far, Company A has hired a consulting firm to organize community consultation and prepare an environmental and social impact assessment.

## Rightsholder Group – Community

- What issues might you be concerns that may violate community rights during expand its operations and open a new coal power plant?
- What concerns would you like the company to implement mitigation measure?

## **Corporate Team**

What measures could you take to minimize risks for communities as well as your company? (Can be considered from the mitigation measures that the company has in place or think of additional measures)



# **Group 3 – Sub-Contractors**



Company A is planning to expand its operations and open a new coal power plant in Cambodia. Following obtaining the necessary approvals, Company A hired construction subcontractors to start working on its operational site.

#### Corporate Team **Rightsholder Group** – Sub-Contractors What issues might you be What measures could you take • concerns that may violate to minimize risks for sub-Sub-Contractors rights during contractors as well as your operations? company? (Can be considered What concerns would you like from the mitigation measures • that the company has in place or the company to implement mitigation measure? think of additional measures)





## "EGCO promotes and encourages respect of human rights throughout the company."



#### The Electricity Generating Public Company Limited

As a leading company that sustainably generates electric power in Thailand and abroad, the Electricity Generating Public Company Limited, or EGCO, promotes and encourages respect of human rights throughout the company. EGCO also expects executives and employees in all levels to respect human rights of all stakeholders in order to ensure that the basic rights of all stakeholder groups are respected equally.

This Human Rights Policy applies to all companies where EGCO has management control. Additionally, the Policy encourages joint ventures, business partners, suppliers, and all stakeholders to be aware of and act in alignment with the principles expressed in this Policy:

- Adherence to this Human Rights Policy in all business activities in alignment with the United Nations Universal Declaration of Human Rights (UNDHR) and the Core Conventions of the International Labour Organization (ILO Conventions).
- Conducting all business activities while avoiding directly and/or indirectly creating adverse impacts towards the human rights of rights holders, such as employees, communities, stakeholders of suppliers and business partners, and customers.
- 3. Upholding human rights and the human dignity of all rights holders equally.
- Inspecting human rights issues in all of its business activities, including direct impacts from own
  operations and impacts that EGCO may be involved in or have a role in supporting in every area
  of operation.
- Communicating and ensuring understanding for all stakeholders in its business value chain, including subsidiaries, joint ventures and suppliers and business partners.

EGCO's Management shall continuously drive and support all necessary activities to achieve the results outlined above by the policy.

For your acknowledgement and comply accordingly

Effective May 31, 2019 (Mr.Witoon Kulcharoenwirat Chairman of the Board of Directors

#### **Commitment to respect human rights**

- Human trafficking
- Forced labor and Child labor
- Freedom of Association
- The right to collective bargaining
- Equal remuneration
- Discrimination
- Other rights

### In accordance with international standards

- UDHR
- UNGP
- UNGC
- ILO

#### Scope of the human rights policy

- EGCO and Subsidiaries
- Suppliers
- Joint ventures

## **EGCO Human Rights Due Diligence**



Reference: UNDP (2021)


### Recap

- Businesses are facing increasing expectations to demonstrate respect for human rights across their business activities and relationships.
- Businesses may affect the human rights of different rightsholders, such as employees' working conditions, supplier safety, or community living standards.
- Discrimination and harassment in the workplace is one of the human rights risks that may be present in EGCO's business activities and relationships.





# Break (15 Mins)



### Part II: Discrimination and Harassment

### **Defining Discrimination and Harassment**



### DISCRIMINATION

Differential treatment based on race, color, sex, religion, and other defined, characteristics that has the effect of undermining equality of opportunity or treatment Putting up a job post that specifies femalesonly

Showing preferential treatment to coworkers of the same political opinion Making sexual comments to a female colleague

Bullying a supervisee because of their religion

Making racist comments

Verbally harassing a colleague

Throwing objects at a supervisee

HARASSMENT

A range of unacceptable behaviors and practices that result in physical, psychological, sexual, or economic harm

Reference: ILO C111; ILO C190 Guide

# Why care about discrimination and harassment in the workplace?

- Legal obligations and litigations: Discrimination and harassment both count as a violation of human rights. In Thailand, there currently exists laws that prohibit discrimination and harassment, such as the Gender Equality Act B.E. 2558 (2015) and the Labour Protection Act B.E. 2541 (1998). In the future, there may also be additional laws introduced to support Thailand aligning with international standards of practice.
- **Investor expectations:** Through a number of studies in the past several decades, diversity, equity, and inclusion (DEI) have been correlated with positive financial performance. Discrimination and harassment directly undermine DEI. Investors are integrating DEI and discrimination and harassment into their investment decisions, as shown through the DJSI.
- **Reputation:** Social expectations regarding maintaining a diverse and inclusive workplace are increasing. Failure to address discrimination and harassment can compromise ability to attract and retain talent.
- **Productivity and performance:** Discrimination and harassment can undermine employee productivity and performance.







## Discrimination

### **Understanding discrimination**

• Discrimination can occur throughout the employment cycle.



- Discrimination can be on the basis of race, color, sex, religion, political opinion, national extraction or social origin.
- Discrimination can be positive or negative, but overall result in the undermining of equal opportunity in the workplace.
- Discrimination can be either direct or indirect.
  - Direct: Unequal treatment stems directly from laws, rules, or practices making an explicit difference on one particular ground. For example: "men only" job advertisements
  - Indirect: Situations, rules, and practices which appear neutral, but which in practice lead to disadvantages. For example: requiring all employees to work one full weekend a month

Reference: ILO (2022)







### **Understanding discrimination**



Counts as Discrimination	Does Not Count as Discrimination
Job advertisement specifies gender or age preference (direct)	Job advertisement specifies skills needed
Recruitment criteria for Board of Directors includes political opinion (direct)	Recruitment criteria for Board of Directors favors women to meet gender parity target in Board
Pay is unequal between men and women (direct)	Pay is unequal between colleagues with different years of work experience
Refusing to allow women returning from maternity leave to work part time or flexible hours (indirect)	Providing special working hours for persons with disability



Reference: ILO (2022)

- A range of types of biases can lead to discrimination. Researchers and psychologies have documented over 150+ types of cognitive biases.
- Not all bias and discrimination are conscious. •

**Bias and Discrimination** 



Reference: Kirwan Institute for the Study of Race and Ethnicity (2022)



Types of Biases	Definition	Examples
Affinity bias	Tendency to give preferential treatment to those whom people perceive to be members of their own groups	A recruiter preferring candidates who share the same religion; a supervisor who favors team members who share the same political opinion
Confirmation bias	Searching for, interpreting, and recalling information in a way that confirms one's preconceived notions	A supervisor believes left-handed people are more creative than right-handed people. Whenever the supervisor meets people who are left-handed and creative, the supervisor notes that his belief is true. However, he does not notice right-handed people who are creative.
Conformity bias	Tendency to change opinions or behaviors to match that of the bigger group	A panel of four managers is deciding between two candidates. One person thinks Candidate A is better than Candidate B, but the remaining three lean towards Candidate A, so that person is swayed and votes like others.
Stereotyping	Associating group membership with differing traits and abilities	"Men are better at math and science." "Millennials are lazy."

#### Reference: Kirwan Institute for the Study of Race and Ethnicity (2022)

### Mentimeter: Identify which biases are present.

	Affinity Bias	Confirmati on Bias	Conformit y Bias	Stereotype	Not a bias	
A female manager heads a team of five male workers and one female worker. She favors the female team member and promotes her first although the performance is the same across the team.						
An American intern joins a team. The manager of the team comments that "Americans are hardworking" and immediately assigns the intern a challenging job that was previously assigned to a Thai employee.						
Employee A has just joined the company. His coworkers tell him that his manager is "crazy" and he believes it. A day later, Employee A is called by the manager to report on what he is planning to work that week. Employee A thinks this must be because the manager is paranoid.						

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## Harassment

### **Understanding harassment**

- "Harassment covers a broad range of unacceptable and unwelcomed actions and behaviors that aim at, result in, or are likely to bring harm to an individual and create a hostile environment." – ILO (2022)
- Types of harassment:
  - Verbal, written, or online: Making disrespectful comments, sending an insulting email
  - Physical: Assault, such as pushing, grabbing, shoving, tripping, slapping, biting, or throwing an object
  - Psychological and social: Yelling, humiliating, threatening, making fun of subordinate or co-workers, making derogatory comments, deliberately changing work hours or schedules to inconvenience particular workers, excluding a co-worker
  - Sexual: Sexual assault, rape, unwelcome physical contact, asking intrusive questions about an employee's private life or body, making insulting comments or jokes, asking for a sexual favor in exchange for a promised job or promotion, sharing or displaying sexually explicit pictures
- Harassment in the workplace can come from both internal and external sources:
  - Internal: Supervisors, managers, coworkers
  - External: Customers, clients

#### Reference: ILO (2022)

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Harassment

### **Understanding harassment**



Counts as Harassment	Does Not Count as Harassment	
Repeatedly removing tools required for the employee to perform their job	Setting reasonable performance goals, standards, and deadlines	
A supervisor who repeatedly declines to invite a team member of another sexual orientation to staff meetings	Deciding not to select a worker for promoting, following a fair and documented process	Harassment
A supervisor who verbally lashes out at a team member	Informing a worker about unsatisfactory work performance and implementing disciplinary actions	

Reference: ILO (2022)

## **Case Study 1 – Sexual Harassment by Colleague**

Verbal, written, or online

Type

Physical

Psychological and social

Sexual

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Internal

External

#### Incident

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- A journalist who works at a Thai media agency shared that at work, she was **repeatedly approached on a daily basis by a male colleague** who kept offering to send her home because he knew her home address.
- At work trip, the male colleague who had been drinking approached her. She tried to run away and he tried to block her exit, but she escaped.
  - She reported that, "No one could do anything, because he's a pooyai".



Reference: Khaosod English (2020)

### Case Study 2 – Islamaphobia at the Workplace



### Туре

Verbal, written, or online

Physical

Psychological and social

Sexual

Source	

Internal

External

Incident

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- A London-based charity reported that Muslim employees are being bullied and harassed at work because of their religion.
  - One male employee said one of his colleagues "physically pulled on his beard" and referred to him as "Jafar" the main antagonist in the Disney film Aladdin.
  - One female employee said a colleague placed bacon in her lunchbox.



Reference: BBC (2022)

## **Case Study 3 – Physical Harassment in Supply Chains**



### Type Verbal, written, or

online

Physical

Psychological and social

Sexual

Source

Internal

External

### Incident

• A construction worker was **physical harassed by his employer after his employer was unsatisfied with the work**.

Matichon

#### <u>ึก.แรงงาน เคลียร์! เคสนายจ้างทำร้ายลูกจ้าง ได้เงินเยียวยาพร้อมเริ่ม</u> งานที่ใหม่



ก.แรงงาน เคลียร์! เคสนายจ้างทำร้ายลูกจ้าง ได้เงินเยียวยาพร้อมเริ่มงานที่ใหม่. วันนี้ (25 เมษายน 2566) นายสุชาติ ชมกลิ่น...

Apr 25, 2566 BE

Reference: Matichon (2023)

### Mentimeter: Identify type of harassment.



	Verbal	Physical	Psycholog ical/Social	Sexual	Not harassment	
After a team member fails to meet a deadline, the manager yells that the team member is "stupid" and throws a stapler at the team member.						
There is a new team member who has dark skin. A coworker calls the team member "Blackie" as a nickname. The coworker also deliberately holds back information on meetings from the new team member, resulting in the team member missing meetings.						
Employee A is tasked with welcoming a group of investors at a dinner. During this dinner, a male investor asks to send Employee A home. When she denies, he follows her to her car and asks again.						



Preventing and Eliminating Discrimination and Harassment



# What can you do to prevent and eliminate discrimination and harassment in the workplace?

- Leading ourselves Demonstrating inclusive behaviors by being a role model and holding others accountable. Be aware of your biases and be mindful, respectful, curious, and supportive of colleagues' differences.
- Leading others Seek out approaches and different perspectives from people with differing views. Self-audit with colleagues you always go to for advice to ensure that their own biases aren't influencing you. Call in and/or call out instances of discrimination and/or harassment.
- Leading the organization Challenge perceptions that are made without supporting evidence. Analyze assumptions that someone is/isn't the right person for a team, workgroup, or position. Report incidents of discrimination and harassment.

Discrimination and Harassment *in the workplace* 

Reference: ERM (internal)

# EGCO

## **Tips: Calling Out vs Calling In**

	For incidents that are extremely harmful and need to be stopped immediately, consider CALLING OUT	For incidents that may have been unintentional or a result of lack of awareness, consider CALLING IN
Definition	When we need to let someone know their words or actions are unacceptable and will not be tolerated; when we need to interrupt in order to prevent further harm	When there is an opportunity to explore deeper, when we are seeking to learn more, focused on reflection
Strengths/ Limitations	<ul> <li>+ Stops the incident immediately</li> <li>- Might alienate the person</li> </ul>	<ul><li>+ Allows opportunity for reflection and encourages mindset changing</li><li>- May not immediately stop the incident</li></ul>
Examples	"I need to push back against that. I disagree. I don't see it that way." "That's not our culture here. Those aren't our values." "I need to leave the room if the conversation is going to continue down this road."	"I'm curious. What was your intention when you said that? How might the impact of your words/actions differ from your intent?" "What sort of impact do you think your decisions/comment/action might have on others?" "What criteria are you using to measure/assess?"

Reference: <u>Tufts University</u>



# What is EGCO doing to prevent and eliminate discrimination and harassment?

- Policy: Included in Human Rights Policy and Diversity and Inclusion Statement
- Human rights risk assessment covers discrimination and sexual harassment issue
- Capacity Building: Organizing regular training for all employees on discrimination and harassment
- Any experienced, observed, or suspected incident of discrimination and harassment should be reported immediately
- Whistleblowing Channels: <u>https://www.egco.com/th/whistle-blowing</u> or

#### Whistleblowing Channels



The Board of Directors, the Audit Committee or the President Electricity Generating Public Company Limited EGCO Tower 222 Vibhavadi Rangsit Rd., Tungsonghong, Laksi, Bangkok, Thailand, 10210



If you wish to submit a whistleblowing form, please fill in below.

### **Whistleblower Policy**



# • Complaints can be submitted by employees as well as third parties.

- Complaints should specify: information of the whistleblower (if choose to not be anonymous), information of the alleged, submission date, detailed information of the complaint, evidence (if any).
- EGCO is committed to protection of the whistleblower, including guaranteed confidentiality unless required by the law. No personnel should be demoted, published, or given retaliation or unfair acts.
- EGCO is also committed to protect the alleged who is not yet proven guilty by providing a fair trial.



Reference: EGCO Whistleblower Policy



### **Whistleblower Process**

1. Registration of complaint with Internal Audit Division through https://www.egco.com/th/whistle-blowing

or	Whistle	blowing Channels
01	Ô	The Board of Directors <u>directors@egco.com</u> The Audit Committee <u>auditcommittee@egco.com</u> The President <u>ceo@egco.com</u>
	₽	The Board of Directors, the Audit Committee or the Presiden Electricity Generating Public Company Limited EGCO Tower 222 Vibhavadi Rangsit Rd., Tungsonghong, Laksi, Bangkok, Thailand, 10210
	Þ	If you wish to submit a whistleblowing form, please fill in below.

- 2. Preliminary review by Internal Audit Division.
- 3. Investigation committee appointed. Internal Audit Division shall inform the whistleblower regarding reception of the complaint.
- 4. Once investigation is completed, whistleblower will be informed.
- 5. Results reported to Board of Directors for approval of disclosure in Annual Report.

Remarks: EGCO is committed to protection of the whistleblower, including guaranteed confidentiality

Information of the Whistleblower EGCO Group encourages the whistleblower to disclose his

EGCO Group encourages the whistleblower to disclose his/her contact information for the Company to inquire further information and update the investigation progress or result. In case the whistleblower chooses to remain anonymous, the compliant should provide detailed information or evidence which is clear and sufficient for EGCO to conduct further fact-finding.

Full name	
Telephone	E-mail
Address	
Whistleblowing Complaint Receiver	
Whistleblowing Complaint Receiver	
The Board of Directors	
	Complaint
	Complaint
Category Fraud and Corruption	Complaint
Category Fraud and Corruption Information of the alleged	Relation to EGCO Group
Category Fraud and Corruption Information of the alleged	
Category Fraud and Corruption Information of the alleged Full name	Relation to EGCO Group
Category Fraud and Corruption Information of the alleged Full name	Relation to EGCO Group
Category Fraud and Corruption Information of the alleged Full name Date and Time	Relation to EGCO Group
Category Fraud and Corruption Information of the alleged Full name Date and Time	Relation to EGCO Group
Information of the Whistleblowing C Category Fraud and Corruption Information of the alleged Full name Date and Time Details	Relation to EGCO Group

Reference: EGCO Whistleblower Guideline



### Moving Towards Diversity, Equity, and Inclusion



# Moving Towards Diversity, Equity, and Inclusion (DEI)

- By preventing and eliminating discrimination and harassment, we can work together to move the company towards diversity, equity, and inclusion (DEI).
- Breaking down DEI:
  - Diversity: The similarities and differences that exist between people
  - Equity: Fairness or justice that specifically accounts for the needs of individuals
  - Inclusion: The experience people have at the workplace and the extent to which they
    feel valued for who they are, the skills and experience they bring, and the extent to
    which they have a strong sense of belonging with others at work
- Traits of a company that has a thriving DEI culture:
  - Company has diverse representation
  - Employees of different groups feel respected, that they belong, and are supported to grow.
  - Employees can be their authentic selves.

Reference: <u>ILO (2022)</u>



### **Good Practices in Driving DEI** Enel

- Published Diversity and Inclusion Policy in 2015 and Workplace Harassment Policy in 2019
- Set **People Care and Diversity Management** global unit in 2016, which steers and supervises the adoption of this Policy, using a specific budget to promote initiatives and projects, and **Equal Opportunity Committees** at the local level
- Put in place targets such as:
  - Equal representation in internal and external selection processes
  - 40% of women in workforce, including leadership and field positions
  - 100% of people managers complete DEI training
- Implemented **initiatives to support inclusivity,** such as: increase participation of female students in STEM initiatives, activities for employees from different generations to share digital skills and organizational knowledge, initiatives to support accessibility
- **Training and communication** employed to promote employee awareness of biases, harassment, intercultural inclusion, and others

Reference: Enel Sustainability Report 2022, Enel (2020), Enel North America



the action plan including direct and indirect measures

**44.4** % women on the BoD **15** % women in executive positions

**23.4** % women in the workforce

**46.1** % female successors

**24.9** % women managers

52.2% women in selection pools





### **Good Practices in Driving DEI** Engie

- Published **Global Policy for Diversity, Equity, and Inclusion** with priority subjects for 2022-2023 defined as gender equality, origins (nationalities, ethical origins, etc.), generations, and disabilities
- Adopted roadmap to be rolled out and managed by a DEI World Committee
- Put in place targets such as:
  - Pay gap between women and men less than 2% on equivalent positions at the Group level, by 2030
  - 50% share of women in management positions by 2030
- Implemented initiatives to support inclusivity, such as: establishment of networks for employee groups, promoting the EDGE Certification in Diversity and Inclusion, include SOS number for female victims of domestic abuse on energy bills
- **Training and communication** employed to promote employee awareness through online DEI training programs, Sexual Harassment and Sexist Action Guide
- **Continuously measures indicators** of diversity, equity, and inclusion and perception through annual engagement survey, tracking spending on diverse suppliers

engie

Reference: Engie Diversity and Inclusion (2023)

### **Good Practices in Driving DEI** *Xcel Energy*

- CEO signed the CEO Action for Diversity and Inclusion pledge
- Oversight of DEI initiatives by **Director of DEI** who also reports to Chief Human Resources Officer and CEO
- Added incentive-based DEI metric to the annual scorecard which reflects diverse interview panels, employee feedback on Inclusion Index, and executive sponsorships
- Implemented initiatives to support inclusivity, such as: engaging local universities and supporting training for skilled jobs in the energy industry, launching business resource groups
- **Training and communication** employed to promote employee awareness on unconscious bias and microinequities, leader inclusion and diversity, and promoting supplier diversity









### **Diversity, Equity, and Inclusion at EGCO**



- Non-discrimination included in the Code of Conduct and Human Rights Policy.
- Committed to target of **30% female managers in revenue** generating functions by 2025.
- **Regularly reports on indicators** such as gender representation in Board and management, and ratio of basic salary and remuneration of women and men
- Training and communication to promote employee awareness, including:
  - Short movie about non-discrimination showed in CG Day 2022
  - Diversity and inclusion training
- Awarded UN Women 2022 Thailand Women's Empowerment Principles Awards for transparency, reporting, and initiatives on gender equality.

(GRI Standards: Diversity and Equal Opportunity 2016, 405-1 Diversity of governance bodies and employees)					
26. Share of women in total workforce (% of total workforce)	21.90	23.70	24.50	23.60	30% by 202
27. Share of women in all management position (junior, middle, and senior management) (% of total management workforce)	36.10	38	37.20	37.50	30% by 202
28. Share of women in junior management positions i.e. first level of management (% of total management positions)	38.40	42	39.70	38.60	50% by 202
29. Share of women in top management positions, i.e. maximum two levels away from CEO (% of total top management positions)	23.80	24	29.40	30	30% by 202
30. Share of women in management positions in revenue-generating functions (excluding support function) (% of all such managers)	21.40	27	27.50	27.60	30% by 202
31. Share of women in STEM-related positions (% of total STEM positions)	NA	50.80	53.30	56.20	50% by 202

#### Reference: EGCO Annual Report 2022, EGCO Reporting Center





### **Recommended Resources** *Business and Human Rights*



#### Human Rights Due Diligence Training Facilitator Guide (UNDP, 2021)

- Covers key business and human rights concepts
- Includes resources and materials for trainers to use
- Available in English, Thai



#### FAQs about the UNGPs (OHCHR, 2014)

- Includes 40 FAQs on UNGPs
- Contains further guidance

#### doing / business with respect for human rights

a guidance tool for companies



#### Doing Business with Respect for Human Rights (Shift, 2016)

- Comprehensive and digestible guidance on BHR





#### Heightened HRDD for Business in Conflict-Affected Contexts: A Guide (UNDP, 2022)

 Comprehensive and digestible guidance on BHR

www.erm.com EGCO Human Rights Training 2023



### **Recommended Resources** *Discrimination*



### Discrimination in employment and occupation: general description and bases for discrimination

Question: We found during a supplier audit that the employment contracts with employees contains the following clause: "Company retains the right to transfer the employees upfront." Is this consistent with your standards?

Answer: The Workers with Family Responsibilities Recom (No. 165) sets out in paragraph 20 the principle that "Fam and considerations such as the place of employment of th

and considerations such as the place of employment of the possibilities of educating children should be taken into ac transferring workers from one locality to another." The ide balanced approach between the needs of the enterprise a worker's/manager's family. The enterprise should be enco family responsibilities into account to a reasonable extent managers to new duty stations.

Question: What does the term "discrimination in employment and occupation" mean? >

**Answer**: "Discrimination in employment and occupation" refers to practices that have the effect of placing certain individuals in a position of subordination or disadvantage in the labour market or the workplace because of their race, colour, religion, sex, political opinion, national extraction, social origin or any other attribute which bears no relation to the job to be performed.

### ILO FAQs on Discrimination in the Workplace

FAQs dashboard



### Recommended Resources Harassment





### **Recommended Resources** *Diversity, Equity, and Inclusion*



Guidelines on Diversity & **Guidelines on Diversity & Inclusion** Inclusion in the Workplace (UN in the workplace: Global Compact Network Italy) UN Global Compact Network Italy Observatory's experience  $\bigcirc$ 



## **Post-Training Survey**

### **Post-Training Test**

Google survey form:

- 1. True or False: An example of a business and human rights issue is a business purchasing raw materials from a supplier that uses forced labor. **[TRUE]**
- 2. True or False: The UNGPs are guiding principles for governments not businesses to implement. [FALSE]
- 3. True or False: The UNGPs outline that businesses should: establish a policy commitment to respect human rights, assess actual and potential impacts, integrate findings and take appropriate action, track and communicate performance, and remediate adverse impacts. **[TRUE]**
- 4. True or False: An example of discrimination is unequal pay between men and women. [TRUE]
- 5. True or False: Harassment can be verbal or physical, but not through emails. [FALSE]
- 6. If you observe or suspect an incident of discrimination or harassment at EGCO, you can report it through https://www.egco.com/th/whistle-blowing or send email to [TRUE]
  - 1. The Board of Directors: directors@egco.com
  - 2. The Audit Committee: auditcommittee@egco.com
  - 3. The President: ceo@egco.com

